



16569 U.S. PTO

040304

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Approved for use through 10/31/2002. OMB 0651-003;  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) **385**

## Complete if Known

Application Number  
Filing Date  
First Named Inventor **Gordon W Nugent**  
Examiner Name  
Group Art Unit  
Attorney Docket No.

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
101 740	201 370	Utility filing fee
106 330	206 165	Design filing fee
107 510	207 255	Plant filing fee
108 740	208 370	Reissue filing fee
114 160	214 80	Provisional filing fee

Fee Paid

SUBTOTAL (1) (\$) **385**

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims Independent Claims Multiple Dependent

Extra Claims Fee from below Fee Paid

-20\*\* = ☐ X ☐ = ☐  
-3\*\* = ☐ X ☐ = ☐

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20, and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

## SUBMITTED BY

Name (Print/Type)

Signature

Gordon W. Nugent

Registration No.  
(Attorney/Agent)

Complete (if applicable)

Telephone

Date

203 762 8274

3 APR 04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



16569 U.S. PTO

In the United States Patent and Trademark Office

Mailed 2004 APR 3

Box Patent Application  
Commissioner for Patents  
Washington, District of Columbia 20231

Sir:

Please file the following enclosed patent application papers:

Applicant #1, Name: GORDON W. NUGENT

Applicant #2, Name: \_\_\_\_\_

Title: SHOPPING CART AND HAND TRUCK...

☒ Specification, Claims, and Abstract: Nr. of Sheets 21

☒ Declaration: Date Signed: 3 APRIL 2004

☒ Drawing(s): Nr. of Sheets Enc.: Formal: 12 Informal: \_\_\_\_\_

☒ Small Entity Declaration of Inventor(s) ☐ SED of Non-Inventor / Assignee / Licensee

☐ Assignment enclosed with cover sheet and recordal fee; please record and return.

☒ Payment by ☒ check ☐ credit card (form PTO-2038 attached) in the amount of \$ 385.00 for:

☒ \$ 385.00 for filing fee (not more than three independent claims and twenty total claims are presented).

☐ \$ \_\_\_\_\_ additional if Assignment is enclosed for recordal.

☒ Information Disclosure Statement, Form PTO-1449, and listed references.

☐ Disclosure Document Program reference letter.

☐ Pursuant to 35 U.S.C. §119(e)(i), applicant(s) claim priority of Provisional Patent Application Ser. Nr. \_\_\_\_\_  
filed \_\_\_\_\_

☒ Return Receipt Postcard Addressed to Applicant #1.

☒ **Request Under MPEP § 707.07(j):** The undersigned, a pro se applicant, respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

☒ **Request for Non-Publication:** The undersigned requests that this application not be published 18 months after filing under 35 USC 122(6)(1). The undersigned certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country or under a multilateral international agreement that requires publication of applications 18 months after filing. The undersigned understands that if this application is filed in a foreign country or under such a multilateral international agreement, the PTO must be notified of such filing or the application will be regarded as abandoned.

Very respectfully,

Gordon W. Nugent  
Applicant #1 Signature

Applicant #2 Signature

160 RIVERGATE DRIVE  
Address (Send all correspondence Here)

WILTON CT 06497-3611  
Address

Express Mail Label #



ER 716284304 US

Certificate of Mailing

I certify that this correspondence and attachments will be deposited with the United States Postal Service for Express Mail Post Office to Addressee service in an envelope addressed to Mail Stop Patent Applications, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date below.

Date: 3 APR 2004  
Applicant Sig

Gordon W. Nugent

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U.S. Patent and Trademark Office; U. S. DEPARTMENT OF COMMERCE

## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor

Title

Gordon W. Nugent  
SHOPPING CART, ETC.

Atty Docket Number

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3 APRIL 2004

Date



Signature

Gordon W. Nugent

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OF COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

In the United States Patent and Trademark Office

Serial Number: \_\_\_\_\_

Appn. Filed: \_\_\_\_\_

Applicant(s): Gordon W. Nugent

Appn. Title: SHOPPING CART AND HAND TRUCK, ETC.

Examiner/GAU: \_\_\_\_\_

Mailed: 3 APRIL 2004  
At: WESTON, CT

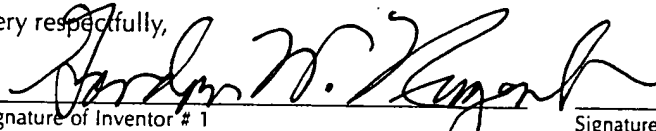
Request Under MPEP 707.07(j)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The undersigned, pro se applicant(s), respectfully requests that if the Examiner finds patentable subject matter disclosed in this application, but feels that Applicant's present claims are not entirely suitable, the Examiner draft one or more allowable claims for applicant.

Very respectfully,



Signature of Inventor # 1

Gordon W. Nugent

Signature of Inventor # 2

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